

I C A N N
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GAC Operating Principles Evolution Working Group

2 November 2019

ICANN | GAC
Governmental Advisory Committee



ICANN66 - Session #4 - GOPE WG Plenary Session

1. Opening Remarks (Guo Feng)
2. WG Update Since ICANN65 (Guo Feng)
3. Pending Items on GAC WG Guidelines (Guo Feng/Benedetta Rossi)
4. Comments on draft (GAC members)
5. Review of Next Steps (Guo Feng)
6. AOB

- Since the ICANN65 meeting, GOPE WG members have met bi-weekly to continue the review of the [Framework of GAC Working Group Guidelines](#)
- **Framework of GAC Working Group Guidelines** updated collaboratively by GOPE WG Members and GAC Support Staff
- GAC WG Guidelines to be further updated, as appropriate, for alignment with updated GAC Operating Principles applicable to future GAC working group efforts.
- Initial review completed by GOPE WG pending GAC review.
- This document will need GAC review and eventually potential endorsement by the GAC

GAC WG Guidelines Pending Items

Section	Current Language	Issue	Comments
1. Background	The GAC has developed its own Operating Principles to govern its operations. Under those principles: “The GAC Chair may call for the creation of Committees and Working Groups to address matters that relate to concerns of governments and where they may affect public policy issues. Accredited GAC representatives may designate advisers to serve on such committees.”	To be reviewed once GOPE WG reviews Operating Principles	
3.1 Initiation [3. Establishment of a WG]	If the (interim) chair is not a member of the GAC, the GAC Chair should appoint a GAC member as liaison.	Can non-GAC members chair WGs?	Has this happened in the past?

GAC WG Guidelines Pending Items

Section	Current Language	Issue	Comments
3.2 Membership Applications [3. Establishment of a WG]	GAC members who are interested in joining the WG should express their interest by responding to the call for volunteers email mentioned above. After the closing date of the call for volunteers, the secretariat will submit a (initial) list of volunteer names received till the specified date to the (interim) chair and the GAC chair.	Is there a limit as to the number of advisors an accredited member of the GAC can have?	
4.0 Operation of a Working Group	Each GAC Working Group should develop a workplan for at least a year as per Annex B of these guidelines.. For further information, please refer to Annex B of these guidelines.	GOPE WG considering whether lifespan of a WG should be added within WG guidelines.	
4.4.1 (Reporting to the GAC) [4.0 Operation of a Working Group]	Briefings must be finalised at least three weeks prior to the meeting date.	Concerns raised regarding WG Chair workload and meeting this deadline.	
4.4.2 B (Reporting to the GAC) [4.0 Operation of a Working Group]	If the purpose of the involvement is to invite GAC input to a particular issue, question or recommendation by the Working Group, or to seek to make a Working Group output a consensus GAC document, then an appropriate time slot should be added to the agenda to enable this dialogue. This should be determined at least 8 weeks in advance of the GAC Meeting.	GOPE WG considering whether a template should be created for consensus GAC documents.	

GAC WG Guidelines Pending Items

Section	Current Language	Issue	Comments
4.5 Use of Sub-teams [4.0 Operation of a Working Group]	Where deemed needed, a Working Group Chair, in consultation with the Working Group, may decide to form sub-teams mandated with specific tasks and agreed timeframe, consistent with the Working Group's agreed Work Plan. The Working Group's Chair(s) will seek volunteers and will coordinate with Working Group members to assign lead(s) who will coordinate the work of a formed sub-team.	Should this section be moved to organization of WGs?	

GAC WG Guidelines Pending Items

Section	Current Language	Issue	Comments
<p>4.7 Closure of a Working Group [4.0 Operation of a Working Group]</p>	<p>4.7.1 WG Co-Chairs will determine, with the Working Group, when the mission of the Working Group is deemed complete, and advise the GAC Leadership Team accordingly.</p> <p>4.7.2 If the WG has been inactive for a prolonged period, the GAC Chair or a person designated by the GAC Chair will contact the Chair of the WG, to assess whether the WG should continue its work or needs to be closed.</p> <p>4.7.3 In the event the Chair of the WG or the person designated by the GAC Chair is of the view the WG needs to be closed, the GAC Leadership Team will be advised to close the WG.</p>	<p>Two alternatives presented by WG member for GOPE WG review. This item is still pending review and discussion.</p> <p>[Alternative 1 for consideration:] GAC Working Groups will be established by a decision of the Plenary for an agreed period of not more than 12 months. At the end of 12 months (or sooner if agreed), Working Groups will cease and the Chair of the Working Group will provide a report of the Group’s work for consideration by the GAC Plenary. Working Groups may continue for a further agreed period of not more than 12 months by a decision of the GAC Plenary.</p>	<p>Which alternative should be selected? Or should the original text be maintained? For GAC membership discussion</p>

GAC WG Guidelines Pending Items

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GAC WG Guidelines Pending Items

Section	Current Language	Issue	Comments
4.8.3 Translation [4.0 Operation of a Working Group]	See WG Guidelines Document - language too long	Should this section be maintained or linked to a section within the ICANN website relevant to translations? What documents should be translated for GAC WGs? How much detail is required in this section? Up for discussion.	
5.1 Participation [5.0 Norms]	WG members are expected to actively participate in the WG's process (e.g. attending meetings, providing input) and should formally withdraw if they find that they can no longer meet this expectation;. It should be noted that there are no rules or requirements as to what constitutes sufficient or adequate participation, this is an assessment that each WG member should make individually.	GOPE WG to <u>consider possibility</u> of additional observer status.	

GAC WG Guidelines Pending Items

Section	Current Language	Issue	Comments
Annex A & B		To be linked with WG Guidelines Document	
Annex A: [GAC Working Group Term of Reference Template] Process for the development of a Position Paper or Statement		Entire section is considered redundant by WG since already present (in part) within guidelines. Discussion to see whether this section should be moved to section 4, Operation of a WG	
Annex B [GAC Working Group <u>Workplan</u> Template]	GAC <u>Workplan</u> Template	Is a <u>workplan</u> is to be annual, within the title section the year for which the work plan is prepared should also be added - item for consideration. Annual i.e. calendar year or from establishment of the WG?	



1. After ICANN66: circulate WG guidelines document to full GAC for further input with pending items (following any updates based on input in Montreal)
2. Consolidate a new version of the GAC Working Group Guideline document based on GAC input during the comment period.
3. Seeking approval of the GAC Working Group Guideline document from GAC leadership team at ICANN 67.
4. Transition to the review of the GAC Operating Principles and other tasks identified by the GAC and its leadership

Initial Draft Work Plan for Year 2020

No.	Working Item	Target Time
1	1) Update GAC Working Group Guideline document based on feedback and finalization of the document; 2) Complete the first draft of the Code of Conduct of GAC liaisons; 3) Start identifying issues on GAC operating Principles by email exchange	Till end of February 2020
2	1) Adoption of GAC Working Group Guideline, WG Work Plan for Year 2020; 2) Present Code of Conduct of GAC liaisons draft to GAC 3) Discussion and confirmation on the issues identified regarding GAC operating Principles	At ICANN 67
3	1) Updating Code of Conduct of GAC liaisons draft based on feedback 2) Continue the effort on updating the GAC Operating Principles	From March 13 to June 21, 2020
4	1) Adoption of Code of Conduct of GAC liaisons 2) Present initial study on issues regarding the GAC Operating Principles to the GAC	At ICANN 68
5	Continue the effort on updating the GAC Operating Principles	From June 23 to October 16, 2020

THANK YOU!